

# North Yorkshire County Council

## Pension Board

7 April 2022

### Progress on issues raised by the Board

#### Report of the Assistant Chief Executive (Legal and Democratic Services)

#### 1.0 Purpose of the report

##### 1.1 To advise Members of:-

- Progress on issues raised at previous meetings;
- Issues that may have arisen, relating to the work of the Board, since the previous meeting

#### 2.0 Background

2.1 This report is submitted to each meeting listing the Board's previous Resolutions where further information is to be submitted to future meetings. The table below represents the list of issues which were identified at previous Pension Board meetings and which have not yet been resolved.

Date	Minute No and subject	Resolution/Action	Comment/completed
3 October 2019 – ongoing – delayed by COVID 19	Minute no 223 – Governance of the Fund/ Minute no 231 (b) – progress on Issues raised / Minute no 289(b) – progress on Issues raised	Hymans Robertson Report on Good Governance in the LGPS – Members raised concerns regarding the potential for the creation of new local authority bodies and joint committees to oversee the LGPS, which had been raised as part of this study/consultation.	Members agreed to monitor developments in relation to any potential changes to governance arrangements from the Scheme Advisory Board, going forward. Advice is still awaited in relation to this matter. The issue was now progressing with the final implications awaited.
14 January 2021 - ongoing	Minute no 265 – Pension administration – Breaches log / Minute no 289(b) & 306(b) – progress on Issues raised	In considering whether a breach should be reported to the Pensions Regulator it was noted that further details were expected back from HMRC before it could be decided whether this should be reported.	Details of the report back from HMRC would be provided to the Board before a decision was made as to whether to report the breach to tPR.

14 January 2021 - ongoing	Minute no 265 – Pension administration – Data Reconciliation Projects – GMP and Pensioner Data/ Minute no 297 and 313 - Pensions Administration	Both reconciliations continued to progress with a number of queries being referred back to the administration team for review and resolution.	Figures from the projects would be fed back into the Pension Board as soon as they were finalised. Figures were starting to emerge from the projects.
14 January 2021 - ongoing	Minute no 265 – Pension administration – Cyber Security / Minute no 289(b) & 306(b) – progress on Issues raised	The NYPF was covered by the NYCC Policy on Cyber Security and was monitored by Technology and Change, who would be developing a series of reports on this matter for use throughout the Authority.	The reports on Cyber Security would be presented to the Pension Board as soon as they were available.
8 July 2021 - ongoing	Minute No 292 – Annual discussion with Treasurer of NYPF/ Minute No 306(b)- progress on issues raised	The additional pressure from the Regulatory Bodies, a large number of investigatory projects and the introduction of new systems on the Administration Team for the North Yorkshire Pension Fund and whether there are sufficient resources available to manage this effectively.	The situation would be carefully monitored by the Treasurer of the Fund and the pension Board to determine whether there are sufficient resources to effectively manage the service.
4 April 2021- ongoing	Minute No. 271 - Other business - Continuation of Remote Meetings/ Minute no 289(b) – progress on Issues raised	Consideration to be given to the arrangements for meetings following the emergency legislation for the holding of remote meetings during the pandemic having lapsed on 7th May 2021	The County Council met in February 2022 and agreed to continue with virtual, live broadcast meetings on an informal basis, with issues requiring a decision being referred by the Committees, with details of their discussion, to the Chief Executive, allowing the decision to be implemented under his existing emergency powers. A review of this position would be undertaken at the May 2022 AGM of the County Council.

7 October 2021	Minute no 309 - Pension Fund Committee - update on Meeting held on 10 September 2021	Request for Broadacres Housing Association to join the North Yorkshire Pension Fund (NYPF) and for their assets and liabilities to be covered by a transfer agreement with Hambleton District Council.	The Pension Fund Committee had supported the recommendation to develop the request further to determine whether it could be undertaken, delegating the responsibility to the Treasurer to pursue that. It was expected that there would be further reports to both the Committee and Board on this matter, going forward.
14 January 2022	Minute No. 327 – Pensions Administration	Communication in relation to how details with regards to pensions were provided to pensioners (online or hard copy) had been made with all pensioners, and a further communication exercise would be undertaken, as it was noted that there had been a low response, initially, and the default position was online.	Following further communication the response levels would be brought to the Board to determine whether these had improved.

### 3.0 Recommendation

3.1 That the report be noted and further action be undertaken where required.

Barry Khan  
Assistant Chief Executive (Legal and Democratic Services)  
County Hall  
Northallerton

Report Author – Steve Loach – April 2022

Background Documents – None